Course on social protection and PFM

Session 2 - Tools for social protection reviews

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International Labour Organization

unicef for every child

Global Coalition for Social Protection Floors
The process of sustainable social protection policy development

1. Inventory of existing social protection schemes and data
2. Identifying and estimating coverage gaps
3. Costing analysis and projections of financing needs
4. Poverty impact assessment
5. Conducting fiscal space analysis and financing options assessment
6. Policy recommendations
The process of sustainable social protection policy development

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Session 2
Wed. 20 Oct.

Session 5
Wed. 3 Nov.
The process of sustainable social protection policy development

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Social dialogue
Capacity building
The process of sustainable social protection policy development

<table>
<thead>
<tr>
<th>Step</th>
<th>Activity</th>
<th>How to</th>
<th>Tools</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Inventory of existing social protection schemes and data</td>
<td></td>
<td>• ABND/SPOTT methodologies</td>
</tr>
<tr>
<td>2</td>
<td>Identifying and estimating coverage gaps</td>
<td></td>
<td>• SSI (Social Security Inquiry)</td>
</tr>
<tr>
<td>3</td>
<td>Costing analysis and projections of financing needs</td>
<td></td>
<td>• CODI questionnaire (ISPA tool)</td>
</tr>
<tr>
<td>4</td>
<td>Poverty impact assessment</td>
<td></td>
<td>• RAP costing tool</td>
</tr>
<tr>
<td>5</td>
<td>Conducting fiscal space analysis and financing options assessment</td>
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<td>• ILO/HEALTH</td>
</tr>
<tr>
<td>6</td>
<td>Policy recommendations</td>
<td></td>
<td>• ILO/PENSIONS</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• ILO Handbook on fiscal space</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>• Poverty impact assessment tool</td>
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</tbody>
</table>
Selected tools in details

• Social Security Inquiry- measuring SDG 1.3.1 indicator

• SPPOT- social protection system review

• Rapid Assessment Protocol- costing social protection policies
## Tools: SSI - Inventory of schemes

<table>
<thead>
<tr>
<th>Scheme 1</th>
<th>Scheme 2</th>
<th>Scheme 3</th>
<th>Scheme 4</th>
<th>Scheme 5</th>
<th>Scheme 6</th>
<th>Scheme 7</th>
<th>Scheme 8</th>
<th>Scheme 9</th>
<th>Scheme 10</th>
<th>Scheme 11</th>
<th>Scheme 12</th>
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<tbody>
<tr>
<td>Statutory pension insurance</td>
<td>Pensions for farmers</td>
<td>Statutory accident insurance scheme</td>
<td>Statutory accident insurance scheme for agricultural workers</td>
<td>Maternity insurance</td>
<td>Unemployment Insurance scheme</td>
<td>Child allowance (0-15)</td>
<td>Food assistance to children at school</td>
<td>Public works programme</td>
<td>Health insurance</td>
<td>Social pension</td>
<td>Housing allowance to old age poor persons</td>
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<tr>
<td>contributory</td>
<td>contributory</td>
<td>contributory</td>
<td>contributory</td>
<td>contributory</td>
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<td>non-contributory</td>
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<td>contributory</td>
<td>non-contributory</td>
<td>non-contributory</td>
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<td>8352 Female</td>
<td>5669 Male</td>
<td>1251 Female</td>
<td>2610 Male</td>
<td>1362 Female</td>
<td>291 Male</td>
<td>151 Female</td>
<td>136 Male</td>
<td>438 Male</td>
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<td>120 Male</td>
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<td>31 Male</td>
<td>136 Male</td>
<td>136 Male</td>
<td>273 Male</td>
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<td>4052 Female</td>
<td>3488 Male</td>
<td>126 Female</td>
<td>120 Male</td>
<td>30 Male</td>
<td>31 Male</td>
<td>136 Male</td>
<td>136 Male</td>
<td>273 Male</td>
<td>272 Male</td>
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<tr>
<td>1636 Male</td>
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<td>522 Male</td>
<td>31 Female</td>
<td>30 Male</td>
<td>30 Male</td>
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<td>136 Male</td>
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<td>273 Male</td>
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<td>438 Male</td>
<td>273 Male</td>
<td>272 Male</td>
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<tr>
<td>Total Male</td>
<td>Total Female</td>
<td>Total Male</td>
<td>Total Female</td>
<td>Public</td>
<td>Compulsory social insurance</td>
<td>Public</td>
<td>Compulsory social insurance</td>
<td>Public</td>
<td>Compulsory social insurance</td>
<td>Public</td>
<td>Compulsory social insurance</td>
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<tr>
<td>Number of beneficiaries (persons who receive benefits)</td>
<td>Public/private administration? (select from dropdown list)</td>
<td>Number of people paying contributions into the scheme during the reporting period (if applicable)</td>
<td>Legal enforcement (Please select column that best applies from list)</td>
<td></td>
<td></td>
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<td></td>
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</tbody>
</table>
Tools: SSI - Information on benefits

- Legal framework
- Coverage (contributors, beneficiaries) = SDG 1.3
- Level of benefits
- Programmes’ revenues and expenditures

<table>
<thead>
<tr>
<th>Benefit under the scheme</th>
<th>Name of the benefit</th>
<th>In original language</th>
<th>In English, if available</th>
<th>Contributory/ non-contributory (select from dropdown list)</th>
<th>In case of contributory, number of active contributors (persons)</th>
<th>Number of beneficiaries (persons who receive benefits)</th>
<th>Benefit is paid in cash or in kind (select from dropdown list)</th>
<th>Periodic or lump-sum (select from dropdown list)</th>
<th>In case of cash benefits, what is the minimum monthly amount paid in local currency</th>
<th>In case of cash benefits, what is the average monthly amount paid in local currency</th>
<th>Maximum duration of benefit provision (for short-term benefits)</th>
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<tbody>
<tr>
<td>Benefit 1</td>
<td>regular old age pension</td>
<td>contributory</td>
<td>14021</td>
<td>6352</td>
<td>5669</td>
<td>5686</td>
<td>5686</td>
<td>1584</td>
<td>735</td>
<td>552</td>
<td>in cash</td>
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<td>Benefit 2</td>
<td>old age pension for long-term insured persons</td>
<td>contributory</td>
<td>14021</td>
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<td>5669</td>
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<td>289</td>
<td>in cash</td>
<td>679</td>
<td>970</td>
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<td>old age pension for miners</td>
<td>contributory</td>
<td>82</td>
<td>71</td>
<td>11</td>
<td>8</td>
<td>5</td>
<td>1</td>
<td>in cash</td>
<td>558</td>
<td>950</td>
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<tr>
<td>Benefit 4</td>
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<td>14021</td>
<td>6352</td>
<td>5669</td>
<td>547</td>
<td>347</td>
<td>380</td>
<td>in cash</td>
<td>558</td>
<td>950</td>
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</table>
Tools: Social Protection Assessment-Based National Dialogue

❖ Assessment-based National Dialogue (ABND) / the Social Protection Policy Options Tools (SPPOT) by the ISPA group. based on a stakeholders dialogue.

❖ national working group on social protection, “leadership” by one government’s institution, engaging with Ministry of Finance

❖ Main steps of the process:
(i) a quantitative assessment: inventory of the existing social protection schemes and collection of data coverage and schemes’ expenditures
(ii) A qualitative assessment: review of the legal, policy, institutional and implementation frameworks and identification of policy/legal gaps and implementation issues;
(iii) Consensus on priorities and scenarios: from recommendations to close gaps, build different scenarios;
(iv) a financial assessment: costing of different social protection scenarios to close the gaps.
(v) Building the case for social protection: evidence, leadership, capacity building, engaging with Ministries of Finance and IFIs, formalization of the economy
THE ISPA TOOLS

SYSTEM
- CODI (Core Diagnostic Instrument)
- SPPOT (Social Protection Policy Options Tool)
- Social Protection Financing

PROGRAM
- Cash Transfers
- In-kind Transfers
- Food Security & Nutrition
- Youth Services
- Social Protection Public Works Programs
- Labor Markets
- Aging
- Disabilities
- Skills
- Social Protection Health
- Disasters & Risk Management
- Protective Services

DELIVERY
- ID
- Eligibility & Enrollment
- Complaints & Appeals
- Social Protection Payments
- Collection
- Monitoring, Oversight & Evaluation
- Communication, Information & Awareness
- Case Management
- Social Assistance Information Systems
Learn more about the ISPA tools

https://ispatools.org/

20 partners
Expected results: building evidence for policy-making

• Confidence and support a national consensus through social dialogue.
• Shared understanding of the national SP system configuration at the country level
• Policy options and costs of extending social protection coverage
• Policy and financing gaps identification
• Impact assessment on poverty of different SP policies
• Quantification and feasibility analysis of different options to create fiscal space
• Strategies for extending social protection (informal sector, women, rural, specific populations) linking with macro-economic policies (employment, formalization)
Thank you
Céline Peyron Bista
Veronika Wodsak